



REC'D IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant(s): Yasmin Thanavala, et al.

Serial No: 09/420,695

Filed: October 19, 1999

- (1) Transmittal Form
- (1) Fee Transmittal Form
- (1) Check in the amount of \$155.00
- (1) Appeal Brief (in triplicate)
- (1) Postcard for acknowledgment



RPP:156A US

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RPP:156A US

4670

DUNN AND ASSOCIATES

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NEWFANE, NY 14108

10-2/220

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TO THE
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DATE April 2, 2001

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Appeal fee, RPP:156AUS

FOR S/N 09/420,695, Yasmin Thanavala et al

Elaine F. Scherzer

MP

Please type a plus sign (+) inside this box →

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
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TRANSMITTAL FORM

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Total Number of Pages in This Submission

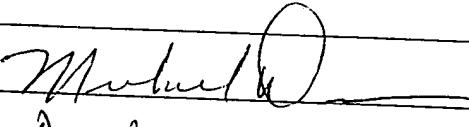
11

Application Number	09/420,695
Filing Date	October 19, 1999
First Named Inventor	Yasmin Thanavala
Group Art Unit	1651
Examiner Name	M. Flood
Attorney Docket Number	RPP:156A US

ENCLOSURES (check all that apply)

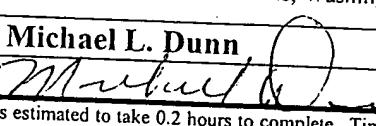
- | | | |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers
(for an Application) | <input type="checkbox"/> After Allowance Communication
to Group |
| <input checked="" type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board
of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Licensing-related Papers | <input checked="" type="checkbox"/> Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a
Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation
Change of Correspondence
Address | <input type="checkbox"/> Other Enclosure(s) (please
identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Certified Copy of Priority
Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Response to Missing Parts/
Incomplete Application | <input type="checkbox"/> Remarks | |
| <input type="checkbox"/> Response to Missing Parts
under 37 CFR 1.52 or 1.53 | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Michael L. Dunn
Signature	
Date	April 2, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name	Michael L. Dunn
Signature	
Date	April 2, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 155.00)

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:
 Deposit Account Number **04-1790**

Deposit Account Name

Charge Any Additional Fee Required
Under 37 CFR 1.16, 1.17, 1.18 and 1.20

Applicant claims small entity status.
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit Card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	710	201 355 Utility filing fee	<input type="text"/>
106	320	206 160 Design filing fee	<input type="text"/>
107	490	207 245 Plant filing fee	<input type="text"/>
108	710	208 355 Reissue filing fee	<input type="text"/>
114	150	214 75 Provisional filing fee	<input type="text"/>
SUBTOTAL (1) (\$)			<input type="text"/>

2. EXTRA CLAIM FEES

Total Claims	-20** =	Extra Claims	Fee from below	Fee Paid
Independent Claims	-3** =	<input type="text"/>	<input type="text"/>	<input type="text"/>
Multiple Dependent		<input type="text"/>	<input type="text"/>	<input type="text"/>

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	270	204 135 Multiple dependent claim, if not paid
109	80	209 40 **Reissue independent claims over original patent
110	18	210 9 **Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)		

** or number previously paid, if greater; For Reissues, see above

Complete if Known

Application Number	09/420,695
Filing Date	October 19, 1999
First Named Inventor	Yasmin Thanavala
Examiner Name	M. Flood
Group Art Unit	1651
Attorney Docket No.	RPP:156A US

FEE CALCULATION (continued)

3. ADDITIONAL FEES

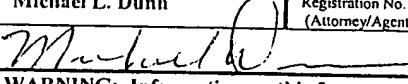
Fee Code	Large Entity Fee (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	<input type="text"/>	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	<input type="text"/>	
139	130	139 130 Non-English specification	<input type="text"/>	
147	2,520	147 2,520 For filing a request for ex parte reexamination	<input type="text"/>	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	<input type="text"/>	
113	1,840*	113 1,840* Requesting publication of SIR after Examination action	<input type="text"/>	
115	110	215 55 Extension for reply within first month	<input type="text"/>	
116	390	216 195 Extension for reply within second month	<input type="text"/>	
117	890	217 445 Extension for reply within third month	<input type="text"/>	
118	1,390	218 695 Extension for reply within fourth month	<input type="text"/>	
128	1,890	228 945 Extension for reply within fifth month	<input type="text"/>	
119	310	219 155 Notice of Appeal	<input type="text"/>	
120	310	220 155 Filing a brief in support of an appeal	<input type="text"/>	155
121	270	221 135 Request for oral hearing	<input type="text"/>	
138	1,510	138 1,510 Petition to institute a public use proceeding	<input type="text"/>	
140	110	240 55 Petition to revive - unavoidable	<input type="text"/>	
141	1,240	241 620 Petition to revive - unintentional	<input type="text"/>	
142	1,240	242 620 Utility issue fee (or reissue)	<input type="text"/>	
143	440	243 220 Design issue fee	<input type="text"/>	
144	600	244 300 Plant issue fee	<input type="text"/>	
122	130	122 130 Petitions to the Commissioner	<input type="text"/>	
123	50	123 50 Processing fee under 37 CFR 1.17(q)	<input type="text"/>	
126	180	126 180 Submission of Information Disclosure Stmt	<input type="text"/>	
581	40	581 40 Recording each patent assignment per property (times number of properties)	<input type="text"/>	
146	710	246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	<input type="text"/>	
149	710	249 355 For each additional invention to be examined (37 CFR § 1.129(b))	<input type="text"/>	
179	710	279 355 Request for Continued Examination (RCE)	<input type="text"/>	
169	900	169 900 Request for expedited examination of a design application	<input type="text"/>	
Other fee (specify)			<input type="text"/>	
SUBTOTAL (3) (\$)			<input type="text"/>	155.00

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 155.00)

SUBMITTED BY

Complete if applicable

Name (Print/Type)	Michael L. Dunn	Registration No. (Attorney/Agent)	25,330	Telephone	716-433-1661
Signature				Date	April 2, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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